

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Seitz, et al. Docket No.: 00P9102US
Serial No.: 09/740,113 Art Unit: 2812
Filed: December 19, 2000 Examiner: TBD
For: Method for Fabricating Transistors having Damascene Formed Gate
Contacts and Self-Aligned Borderless Bit Line Contacts

Certificate of Mailing via First Class Mail (37 C.F.R. § 1.8(a))

Date of Deposit: September 16, 2003

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Information Disclosure Statement (1 page)
IDS Form 1449 (1 page) with copies of six (6) cited references
Copy of PCT Search Report (5 pages)
Return Postcard

Respectfully submitted,

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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants wish to bring to the attention of the Patent and Trademark Office the information noted on the enclosed substitute for form 1449 that may be considered material to the examination of the above-identified application.

No fee is due at this time, as this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of a first Office action on the merits. Applicant hereby states that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart application. A copy of the search report is provided for the Examiner's convenience.

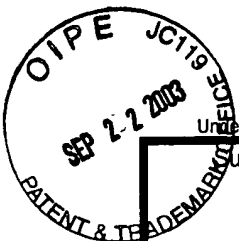
Respectfully submitted,

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September 16, 2003

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Compl te if Known		
			Application Number	09/740,113	
			Filing Date	December 19, 2000	
			First Named Inventor	Seitz, et al.	
			Art Unit	2812	
			Examiner Name	TBD	
Sheet	1	of	1	Attorney Docket Number	00P9102US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-5,384,277	01-24-1995	Hsu, et al.	
	2	US-5,886,411	03-23-1999	Kohyama	
	3	US-6,144,054	11-07-2000	Agahi, et al.	
	4	US-6,153,902	11-28-2000	Furukawa, et al.	
	5	US-6,175,128 B1	01-16-2001	Hahey, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	6	JP 63284851	11-22-1988	Oki Electric Ind Co Ltd	(English Abstract Only)	

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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